

AUSTRALIAN ETHICAL - MANAGED FUNDS - SWITCHING FORM

Notes

The contribution fee charged on the switch is the difference between the contribution fee for the original fund and the new fund. Where a switch is from a fund with a higher contribution fee to one where it is lower, the switch is free.

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The switch fee may be waived if you have previously switched an investment with us into the Income or Property Trust and are now seeking to switch back to another one of our managed funds – you should contact us if you are in this situation (phone 1800 021 227).

This form must not be circulated or distributed unless accompanied by a copy of the managed funds product guide (PDS), dated 1 October 2009 which contains information relevant to investing in the funds. You can download the product guide at www.australianethical.com.au.

SECTION 3 - INCOME PAYMENT OPTIONS

Please tick one box for each managed fund invested into. Provide account details below for direct deposit.

Australian Ethical managed fund	Reinvest	Direct deposit
Balanced Trust	<input type="checkbox"/>	<input type="checkbox"/>
Smaller Companies Trust	<input type="checkbox"/>	<input type="checkbox"/>
Larger Companies Trust	<input type="checkbox"/>	<input type="checkbox"/>
Income Trust	<input type="checkbox"/>	<input type="checkbox"/>
Property Trust	<input type="checkbox"/>	<input type="checkbox"/>
International Equities Trust	<input type="checkbox"/>	<input type="checkbox"/>
Climate Advocacy Fund	<input type="checkbox"/>	<input type="checkbox"/>

Account details

To have your income directly deposited into your Australian bank account, please supply the following details.

Account name	<input type="text"/>														
Account number	<input type="text"/>					BSB	<input type="text"/>		<input type="text"/>						
Bank	<input type="text"/>														
Branch name	<input type="text"/>														

SECTION 4 - SIGNATURES

Signature	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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SECTION 5 – ADVISER INFORMATION (to be completed by the investment adviser, if applicable)

If you use a financial adviser, please have them sign and stamp this section. By stamping this application the financial adviser is confirming that they hold a current AFS Licence and are authorised to deal in and/or advise on managed investment products. Any switch fee we collect will be paid to your adviser as a commission. Your adviser may (but is not obligated to) rebate (i.e. reduce) the switch commission, in which case we will reduce the switch fee accordingly.

	Adviser details	Adviser commission
Address		Unless this section is filled in and signed by the adviser, the maximum commission is payable to the adviser. The maximum commission is the difference between the contribution fee for the original trust and for the new trust.
Phone		Fill in commission % to be paid to adviser
Fax		Switch commission%: <input type="text"/>
Dealer group		Adviser service fee (annual%): Maximum 1% pa
Email		Adviser name <input type="text"/>
		Adviser signature <input type="text"/>

Dealers or advisers stamping and/or signing this document do so on the understanding that Australian Ethical has no agency relationship with any broker or adviser and that no broker or adviser acts under Australian Ethical's authority.

SENDING THE FORM

When you have completed this form please send it to **australianethical** using one of the following methods:

- **Scan** the completed form and **email** it to:
trustadmin@australianethical.com.au
- **Fax** the completed form to:
Attn: Australian Ethical Client Team
02 9252 1987
- **Post** the completed form to:
Australian Ethical Investment
c/o Boardroom Pty Limited
GPO Box 3993
Sydney NSW 2001