

INSTRUCTIONS

Investor number

Please use BLOCK LETTERS and BLACK PEN

SECTION 1 – INVESTOR DETAILS

INVESTOR 1

Name

INVESTOR 2

Name

SECTION 2 – CHANGE YOUR CONTACT DETAILS

OLD CONTACT DETAILS

Phone

Mobile

Email

Street

Suburb

State

Postcode

NEW CONTACT DETAILS

Phone

Mobile

Email

Street

Suburb

State

Postcode

Country

SECTION 3 – BECOME AN ELECTRONIC INVESTOR

By crossing the box below and providing us with your email address you are agreeing to become an electronic investor and will have access to our discounted fees (see *Fees and other costs* in the managed funds product guide (PDS) for more information). This means that you agree to:

- make your investments electronically via BPAY or direct debit
- have distribution income payments (if not re-invested) deposited into your bank or credit union account electronically
- have documents such as your annual statements emailed to you.

To be an electronic investor it is important that you let us know whenever your email address changes. Electronic investor option only available to direct investors.

I/ we authorise Australian Ethical to treat me as an electronic investor and agree to be bound by the provisions of the product guide available at www.australianethical.com.au or by phoning 1800 021 227.

Email

SECTION 4 – CHANGE DISTRIBUTION INCOME PAYMENTS

PAYMENT OF INCOME

To have your distribution income re-invested as additional units select 'Re-invest'. To have your distribution income paid into your bank or credit union account select 'Direct deposit'.

Australian Ethical Funds	Re-invest		Direct deposit
Balanced Trust	<input type="checkbox"/>		<input type="checkbox"/>
Smaller Companies Trust	<input type="checkbox"/>		<input type="checkbox"/>
Larger Companies Trust	<input type="checkbox"/>		<input type="checkbox"/>
Income Trust	<input type="checkbox"/>	OR	<input type="checkbox"/>
International Equities Trust	<input type="checkbox"/>		<input type="checkbox"/>
Property Trust	<input type="checkbox"/>		<input type="checkbox"/>
Climate Advocacy Fund	<input type="checkbox"/>		<input type="checkbox"/>

Update details of the Australian bank or credit union account where you would like your income paid.

Account name	<input type="text"/>		
Account number	<input type="text"/>	BSB	<input type="text"/>
		Bank	<input type="text"/>

SECTION 5 – CHANGE YOUR REGULAR INVESTOR PLAN

AUSTRALIAN ETHICAL FUNDS	MONTHLY REGULAR INVESTMENT (\$100 MINIMUM) PER TRUST
Balanced Trust	\$ <input type="text"/>
Smaller Companies Trust	\$ <input type="text"/>
Larger Companies Trust	\$ <input type="text"/>
Income Trust	\$ <input type="text"/>
Climate Advocacy Fund	\$ <input type="text"/>
Total	\$ <input type="text"/>

Provide details of the Australian bank or credit union account from which you authorise us to debit on-going regular investor payments.

Account name	<input type="text"/>		
Account number	<input type="text"/>	BSB	<input type="text"/>
		Bank	<input type="text"/>

REGULAR MONTHLY INVESTMENT

Nominate the month you wish your Regular Investor Plan to start: _____

The first debit will occur between the 15th and 20th of the month and at monthly intervals after that.

Change the amount of your Regular Investor Plan direct debits:

New amount: \$ _____

Account name _____

Account number _____

BSB _____

Bank _____

OR

 Stop my Regular Investor Plan direct debits (Please allow up to five working days for this stop instruction to take effect).
SECTION 6 – CHANGE OF BANK ACCOUNT DETAILS FOR WITHDRAWALS
 Update or provide details of the bank account or credit union where you would like any withdrawals made. This account must be in your name. **If this is the same account as in section 4, please check this box:**

Account name _____

Account number _____

BSB _____

Bank _____

SECTION 7 – CHANGE OF NAME

Current name _____

Previous name _____

If you have changed your name you will need to complete this form and provide a certified copy of either a marriage certificate, deed poll or change of name certificate from the Births, Deaths and Marriages Registration Office.

SECTION 8 – CHANGE OF COMPANY/TRUST OR ASSOCIATION NAME

Current name _____

Previous name _____

Where applicable provide either a certified copy of the Certificate of Incorporation or Change of Name of the company (or association) or a certified copy of the Trust Deed indicating the change of name.

Current signatories must sign this form. A new signatory list needs to be provided where authorised signatories change.

SECTION 9 – CHANGE OF SIGNATORY LIST

Attach details of the current and new signatories on your organisation's letterhead. Current signatories must sign this form.

Attach an originally certified copy of the Power of Attorney. The Power of Attorney must provide certified identification documents as outlined on page 44 of the managed funds product guide (PDS).

SECTION 10 – ADVISER DETAILS

CANCEL YOUR ADVISER

You will need to notify your adviser of this cancellation. Arrangements are between you and your adviser. Australian Ethical has no agency relationship with any broker and no broker or adviser acts under Australian Ethical's authority. Removing your adviser could impact the fees you pay. Please review the fees and costs template in the managed funds product guide or call us on 1800 021 227 for further information.

Please remove my adviser from my investments with Australian Ethical

Signature _____ Date _____

Signature _____ Date _____

APPOINT A NEW ADVISER

Adviser name _____

Address _____

Phone _____ Fax _____

Dealer group _____

Email _____

Australian Ethical has no agency relationship with any broker or adviser and no broker or adviser acts under Australian Ethical's authority.

If you use a financial adviser, please have them sign and stamp this section. By stamping this application the financial adviser is confirming that they hold a current AFS Licence and are authorised to deal in and/or advise on managed investment products. Some financial advisers may rebate their normal upfront commission to investors although they are under no obligation to do so.

ADVISER COMMISSION

Fill in commission % to be paid to adviser

Up-front commission (exclusive of GST)	Balanced Trust		Smaller Companies Trust		Larger Companies Trust		Income Trust		International Equities Trust		Property Trust		Climate Advocacy Fund	
	Max.		Max.		Max.		Max.		Max.		NA		N/A	
	3.6%		3.6%		3.6%		0.5%		3.6%		NA		N/A	
Adviser service fee (annual % of total investment): Maximum 1% pa*											NA			
Switch commission%*:														

*0% unless stated otherwise.

Adviser name _____

Adviser signature _____

SECTION 11 – SIGNATURE(S)

Signature

Date

Signature

Date

For joint investments all investors must sign.

Previous

signature

(if changing name)

SENDING THE FORM

- **Email** the form to trustadmin@australianethical.com.au; **OR**
- **Fax** the form to **02 6201 1987**; **OR**
- **Post** the completed form to:
Australian Ethical Investment
c/o Boardroom Limited
GPO Box 3993
Sydney NSW 2001